



Case Docket No. OPTIS.090A Date: September 17, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Unknown

Appl. No.

10/825,223

Filed

April 15, 2004

For

SAMPLE ELEMENT WITH

BARRIER MATERIAL

Examiner

Unknown

Group Art Unit:

1641

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

September 17, 2004

(Date)

Mark J. Kertz, Reg. No. 43,711

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with 65 references, 8 of which are enclosed.
- (X) Return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Mark J. Kertz

Registration No. 43,711 Attorney of Record

Mark Kentz

Customer No. 20,995

(949) 760-0404

Customer No. 20,995

Docket No.: OPTIS.090A

INFORMATION DISCLOSURE STATEMENT

Applicant

Unknown

App. No.

10/825,223

Filed

: April 15, 2004

For

SAMPLE ELEMENT WITH BARRIER

MATERIAL

Examiner

Unknown

Group Art Unit

1641

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 65 references, 8 of which are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

RELATED COPENDING APPLICATIONS (COMMONLY OWNED WITH THE

PRESENT APPLICATION)

| Serial No. | Filing Date | <u>Title</u> | Inventors | Attorney Docket No. |
|------------|-------------|---|------------------|---------------------|
| 10/055,875 | 01/21/02 | Reagent-Less Whole- Blood Glucose Meter | Sterling, et al. | OPTIS.039A |
| 10/200,384 | 07/19/02 | Reagent-Less Whole- Blood Glucose Meter | Sterling, et al. | OPTIS.039C1 |
| 10/219,625 | 08/14/02 | Device and Method for In Vitro Determination of Analyte Concentration Within Body Fluids | Braig, et al. | OPTIS.039CP1 |
| 10/219,627 | 08/14/02 | Device and Method for In Vitro Determination of Analyte Concentration | Braig, et al. | OPTIS.039CP2 |

Appl. No. Filed

10/825,223

April 15, 2004

Docket No. OPTIS.090A Customer No. 20,995

| | | Within Body Fluids | | |
|------------|----------|---|--------------|------------|
| 10/338,061 | 01/06/03 | Cartridge Lance | Rule, et al. | OPTIS.078A |
| 10/913,666 | 08/06/04 | Sample Element with Barrier Material and Vacuum | Unknown | OPTIS.109A |

Regarding Serial No. 10/055,875, its specification is set forth in publication no. 2003/0090649, listed in the attached form PTO-1449. A copy of the currently-pending claims of Serial No. 100/055,875 is enclosed herewith.

Regarding Serial No. 10/200,384, its specification and currently-pending claims are set forth in publication no. 2003/0086073, listed in the attached form PTO-1449.

Regarding Serial No. 10/219,625, its specification and currently-pending claims are set forth in publication no. 2003/0086074 listed in the attached form PTO-1449.

Regarding Serial No. 10/219,627, its specification and currently-pending claims are set forth in publication no. 2003/0086075, listed in the attached form PTO-1449.

Regarding Serial No. 10/338,061, its specification and currently-pending claims are set forth in publication no. 2004/0132167, listed in the attached form PTO-1449.

Regarding Serial No. 10/913,666, a copy of its specification, drawings and currently-pending claims is enclosed herewith.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 52. 17 2004

Ву: __

Mark I Kerta

Registration No. 43,711

Attorney of Record

Customer No. 20,995

(949) 760-0404

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| FORM | PTO- | 1448 |
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. OPTIS.090A

APPLICATION NO. 10/825,223

CONFORMATION DISCLOSURE STATEMENT BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT Unknown

FILING DATE April 15, 2004

GROUP 1641

ILS PATENT DOCUMEN

| | U.S. PATENT DOCUMENTS | | | | | | |
|---------------------|-----------------------|-----------------|----------|--------------------|-------|----------|---------------------------------|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
| | 1 | 3,751,173 | 8/7/73 | Sanz et al. | | | |
| | 2 | 4,042,335 | 8/16/77 | Clement | | | |
| - | 3 | 4,088,448 | 5/9/78 | Lilja et al. | | • | |
| _ | 4 | 4,405,235 | 9/10/83 | Rossiter | | | |
| | 5 | 4,654,197 | 3/31/87 | Lilja et al. | | | |
| | 6 | 4,477,190 | 10/16/94 | Liston et al. | | | |
| | 7 | 4,753,776 | 6/28/88 | Hillman et al. | | | |
| | 8 | 4,873,993 | 10/17/89 | Meseral et al. | | | |
| | 9 | 4,761,381 | 8/2/88 | Blatt et al. | | | |
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| | 11 | 4,953,552 | 9/4/90 | DeMarzo | | | |
| | 12 | 4,948,961 | 8/14/90 | Hillman et al. | | | |
| | 13 | 4,935,346 | 6/19/90 | Phillips et al. | | | |
| | 14 | 5,064,282 | 11/12/91 | Curtis | | | |
| | 15 | 5,209,904 | 5/11/93 | Forrey et al. | | | |
| | 16 | 5,223,219 | 6/29/93 | Subramanian et al. | | | |
| | 17 | 5,306,623 | 4/26/94 | Kiser et al. | | | |
| | 18 | 5,371,020 | 12/6/94 | Frischauf | | | |
| | 19 | 5,418,142 | 5/23/95 | Kiser et al. | | | |
| | 20 | 5,430,542 | 7/4/95 | Shepherd | | | |
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| | 23 | 5,452,716 | 9/26/95 | Clift | | | |
| | 24 | 5,567,869 | 10/12/96 | Hauch et al. | | | , |
| | 25 | 5,627,041 | 5/6/97 | Shartle | | | |
| | 26 | 5,722,397 | 3/3/98 | Eppstein | | | |
| | 27 | 5,714,123 | 3/3/98 | Sohrab | | | |
| | 28 | 5,674,457 | 10/7/97 | Williamsson | | | |
| | | | | | | | |

EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

| | | | | SHE | ET 2 OF : |
|--------------|---|------------------------|--------------------------------|-------------------------------|-----------|
| | FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. OPTIS.090A | APPLICATION NO. 10/825,223 | |
| | | DISCLOSURE STATEMENT | | | |
| BY APPLICANT | | | APPLICANT Unknown | | |
| | (USE SEVERAL | . SHEETS IF NECESSARY) | FILING DATE April 15, 2004 | GROUP 1841 | |

| | | | U.S | . PATENT DOCUMENTS | | | |
|---------------------|----|-----------------|----------|--------------------|-------|----------|---------------------------------|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
| | 29 | 5,753,452 | 5/19/98 | Smith | | | |
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| | 33 | 5,843,691 | 12/11/98 | Douglas et al. | | | |
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| | 35 | 5,948,695 | 9/7/99 | Douglas et al. | | | |
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| | 50 | 2003/0086073 | 5/8/03 | Sterling et al. | | | |
| | 51 | 2003/0086074 | 5/8/03 | Braig et al. | | | |
| | 52 | 2003/0086075 | 5/8/03 | Braig et al. | | | |
| | 53 | 2004/0132167 | 5/8/04 | Braig et al. | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER | DATE CONSIDERED |
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| | DATE CONSIDERED |
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*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY, DOCKET NO. OPTIS.090A

APPLICATION NO. 10/825,223

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT Unknown

(USE SEVERAL SHEETS IF NECESSARY)

FILING DATE GROUP April 15, 2004 GROUP

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANS | LATION |
|---------------------|----|-----------------|-------------------|---------|-------|----------|-------|--------|
| | _ | | | | 1 | | YES | МО |
| | 54 | WO 01/53806 | July 26, 2001 | PCT | | | | |
| | 55 | WO 03/01682 | February 27, 2003 | PCT | | | | |

| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) | | | | | | |
|---------------------|--|--|--|--|--|--|--|
| | 56 | Ward et al., "Post-Prandial Blood Glucose Determination by Quantitative Mid-Infrared Spectroscopy," Applied Spectroscopy, vol. 46, pp. 959-965 (1992). | | | | | |
| | 57 | Heise et al., "Multicomponent Assay for Blood Substrates in Human Plasma by Mid-Infrared Spectroscopy and its Evaluation for Clinical Analysis," Applied Spectroscopy, vol. 48, no. 1, pp. 85-95 (1994). | | | | | |
| | 58 | McNichols et al., "Optical Glucose Sensing in Biological Fluids: An Overview," <u>Journal of Biomedical Optics</u> , vol. 5, no. 1, pp. 5-9 (January 20000). | | | | | |
| | 59 | Petibois et al., "Glucose and Lactate Concentration Determination on Single Microsamples by Fourier-Transform Infrared Spectroscopy," Journal of Laboratory and Clinical Medicine, vol. 135, no. 2, pp. 210-215 (February 2000). | | | | | |
| | | USPTO Serial No.10/055,875; Inventors Sterling et al.; Filing Date 01/21/02 | | | | | |
| | 61 | USPTO Serial No. 10/200,384; Inventors Sterling et al.; Filing Date 07/19/02 | | | | | |
| | 62 | USPTO Serial No. 10/219,625; Inventors Braig et al.; Filing Date 08/14/02 | | | | | |
| | 63 | USPTO Serial No. 10/219,627; Inventors Braig et al.; Filing Date 08/14/02 | | | | | |
| | 64 | USPTO Serial No. 10/338,061; Inventors Rule et al.; Filing Date 01/06/03 | | | | | |
| | 65 | USPTO Serial No. 10/913,666; Inventors Unknown; Filing Date 08/06/04 | | | | | |

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EXAMINER

DATE CONSIDERED